

Permutation packing and Flag Algebras

Jakub Sliachan

The Open University

- ▶ H, F, G graphs/permutations
- ▶ G is F -free
- ▶ $|H| = k, |G| = n$

$$p(H, G) = \frac{\# \text{ induced copies of } H \text{ in } G}{\binom{n}{k}}$$

Maximize the density of H in an F -free G .

\mathcal{F} a family of forbidden permutations/graphs

$$\rho(H, n) = \max_{\substack{G \text{ is } \mathcal{F}\text{-free} \\ |G|=n}} p(H, G)$$

Packing density

$$\rho(H) = \lim_{n \rightarrow \infty} \rho(H, n)$$

Turán density

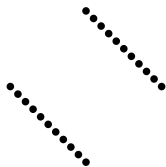
$$\rho(H, \mathcal{F}) = \lim_{n \rightarrow \infty} \rho(H, \mathcal{F}, n)$$

Example I

Permutations

Maximize density of 12 in a 123-free P .

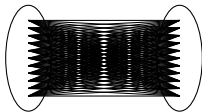
Answer: $\sim 1/2$.



Graphs

Maximize the density of \vdash in a \triangleleft -free G .

Answer: $\sim 1/2$.



Example II

Permutations

Minimize the density of monotone subsequences of length 4.

Answer: $\binom{\lfloor n/3 \rfloor}{4} \binom{\lfloor n/3+1 \rfloor}{4} \binom{\lfloor n/3+2 \rfloor}{4}$ via Flag algebras [BHL⁺15].

Graphs

Minimize the density of $\begin{smallmatrix} \bullet & \bullet \\ \bullet & \bullet \end{smallmatrix} + \begin{smallmatrix} \bullet & \bullet \\ \diagdown & \diagup \\ \bullet & \bullet \end{smallmatrix}$.

Notoriously hard. Minimizer is NOT $\mathbb{G}(n, 1/2)$ — can do better.

Still open!

Example III

Permutations

Maximize the density of 132 permutation.

Answer: $2\sqrt{3} - 3$ (Galvin-Kleitmann, Stromquist).

Digraphs

Maximize the density of \vec{A}_n .

Answer: $2\sqrt{3} - 3$ ([FRV13]).



Timeline

1992	Wilf @ SIAM	<i>please look at packing densities</i>
1992	Galvin	packing densities exist
1993	Galvin-Kleitmann, Stromquist	132 packing density
1998	Price	PhD thesis, layered patterns
2002	Albert et al.	packing densities of layered patterns (+ LBs for 1342, 2413)
2002	Hästö	packing density of <i>other</i> layered permutations
2006	Barton	packing densities of patterns
2008	Presutti	lower bounds for packing non-layered patterns (weighs AAHHS templates)
2010	Presutti-Stromquist	packing rates of measures, LB for 2413
2015	Balogh et al.	Minimum number of monotone 4-point sequences

In particular...

Structural result (Stromquist, [AAH⁺02])

Layered (on top) permutations pack best into layered (on top) permutations.

Must mention:

- ▶ Price's algorithm
- ▶ Generalization to patterns
- ▶ Number of layers – bdd or not
- ▶ Presutti-Stromquist permutation limits
- ▶ Packing densities of linear combinations with coeffs in \mathbb{N}

Non-layered (before FA):

- ▶ $0.1047\dots \leq p(\begin{smallmatrix} \blacksquare & \blacksquare & \blacksquare \\ \blacksquare & \blacksquare & \blacksquare \\ \blacksquare & \blacksquare & \blacksquare \end{smallmatrix}) \leq 2/9$
- ▶ $0.1965\dots \leq p(\begin{smallmatrix} \blacksquare & \blacksquare & \blacksquare \\ \blacksquare & \blacksquare & \blacksquare \\ \blacksquare & \blacksquare & \blacksquare \end{smallmatrix}) \leq 2/9$

Small permutations (overview)

3-point packing densities

Done.

4-point packing densities

S	lower bound	ref LB	upper bound	ref UB
1234	1	trivial	1	trivial
1432	0.42357...	[Pri97]	0.42357...	[Pri97]
2143	3/8	trivial	3/8	[Pri97]
1243	3/8	trivial	3/8	[AAH ⁺ 02]
1324	$\approx 0.244...$	[Pri97]	$\approx 0.244...$	[Pri97]
1342	0.1965...	[Bat]	2/9	[AAH ⁺ 02]
2413	0.104724...	[PS10]	2/9	[AAH ⁺ 02]

Strategy

First bound

Maximize density of \downarrow in a \blacktriangle -free graph.

$$\begin{aligned} p(\downarrow, G) &= p(\downarrow, \bullet\bullet\bullet)p(\bullet\bullet\bullet, G) + p(\downarrow, \bullet\bullet\text{---})p(\bullet\bullet\text{---}, G) + p(\downarrow, \blacktriangle\text{---})p(\blacktriangle\text{---}, G) \\ &\leq \max\{p(\downarrow, \bullet\bullet\bullet), p(\downarrow, \bullet\bullet\text{---}), p(\downarrow, \blacktriangle\text{---})\} = 2/3 \end{aligned}$$

Redistribute weight

$$\begin{aligned} p(\downarrow, G) &= \sum_{H \in \mathcal{G}_k} p(\downarrow, H)p(H, G) + \underbrace{\sum_{H \in \mathcal{G}_k} c_H p(H, G)}_{\geq 0} \\ &\leq \max_{H \in \mathcal{G}_k} p(\downarrow, H) + c_H \quad // \text{ winning if the right } c_H \text{ negative} \end{aligned}$$

Magic (flag algebras)

- ▶ $\mathcal{G} = \bigcup_{n \geq 0} \mathcal{G}_n$ set of all graphs.
- ▶ $\mathcal{A} = \mathbb{R}\mathcal{G}/\mathcal{K}$, where \mathcal{K} contains $H - \sum_{H' \in \mathcal{G}_k} p(H, H')H'$.
- ▶ $H_1 \cdot H_2 := \sum_H p(H_1, H_2; H)H$ (now \mathcal{A} is an algebra).
- ▶ $(G_n)_n$ convergent if $(p(H, G_n))_n$ converges for every H .
- ▶ Every $(G_n)_n$ has convergent subsequence (Tychonoff).
- ▶ Associate convergent $(G_n)_n$ with $\phi \in \text{Hom}^+(\mathcal{A}, \mathbb{R})$.
- ▶ Averaging: $H^\sigma \in \mathcal{A}^\sigma$, $\llbracket H^\sigma \rrbracket_\sigma = p_H^\sigma H$.
- ▶ For every $\phi \in \text{Hom}^+(\mathcal{A}, \mathbb{R})$ and every $h^\sigma \in \mathcal{A}^\sigma$,

$$\phi(\llbracket h^\sigma \rrbracket_\sigma) \geq 0.$$

- ▶ Semi-definite programming, additional info comes from:

$$\phi\left(\llbracket \mathbf{x}^T Q \mathbf{x} \rrbracket\right) \geq 0, \quad Q \succeq 0,$$

where $\mathbf{x}_i \in \mathcal{A}^\sigma$.

Permpack package

Sage package automating flag algebras for permutations.

<https://github.com/jsliacan/permpack.git>

Ingredients

- ▶ Razborov's Flag Algebras [Raz07]
- ▶ Sage-7.2 (SageMath.org)
- ▶ CSDP (COIN|OR project)

What to expect

- ▶ Maximize packing density for $\pi = \sum_{i=1}^k a_i P_i$, some k .
- ▶ Compute Turán density for finite \mathcal{F} and π
- ▶ Handle inequality constraints, i.e. $\begin{array}{|c|c|} \hline \bullet & \bullet \\ \hline \bullet & \bullet \\ \hline \end{array} \geq 1/3$

Permpack example I

Listing 1: Packing 132

```
p = PermProblem(3, density_pattern="132")  
p.solve_sdp(solver="csdp")
```

Listing 2: Output

```
...  
Success: SDP solved  
Primal objective value: -4.6410162e-01  
Dual objective value: -4.6410162e-01  
Relative primal infeasibility: 5.90e-14  
Relative dual infeasibility: 1.67e-10  
Real Relative Gap: 3.68e-10  
XZ Relative Gap: 6.14e-10
```

Permpack example II

Listing 3: Packing 123 subject to $132 \geq 1/3$.

```
p = PermProblem(3, density_pattern="123")
p.add_assumption([("132",1)], 1/3)
p.solve_sdp(solver="csdp")
```

Listing 4: Output

```
...
Success: SDP solved
Primal objective value: -5.9221808e-01
Dual objective value: -5.9221809e-01
Relative primal infeasibility: 3.14e-15
Relative dual infeasibility: 2.48e-09
Real Relative Gap: -9.65e-10
XZ Relative Gap: 4.52e-09
```

After Flag Algebras

S	lower bound	ref LB	upper bound	ref UB
1234	1	trivial	1	trivial
1432	α	[Pri97]	α	[Pri97]
2143	3/8	trivial	3/8	[Pri97]
1243	3/8	trivial	3/8	[AAH ⁺ 02]
1324	0.244054321	construction Γ	0.244054549	Flagmatic 2.0
1342	β	[Bat]	0.1988373...	[BHL ⁺ 15]
2413	≈ 0.104724	[PS10]	0.1047805...	[BHL ⁺ 15]

All known upper bounds can be re-proved via FA.

The curious case of 1342

$$\Gamma = \begin{array}{cccc} & & / & / & / \\ & & / & / & / \\ & & / & / & / \\ & & / & / & / \\ & & / & / & / \\ & & / & / & / \end{array}$$

Batkeyev, [BHL⁺15]

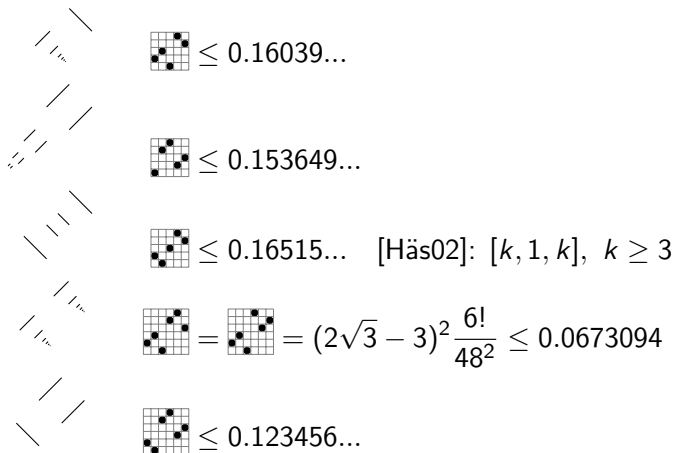
$$0.1965\dots = p(\begin{array}{|c|c|c|} \hline & & \\ \hline & & \\ \hline & & \\ \hline \end{array}, \Gamma) \leq \begin{array}{|c|c|c|} \hline & & \\ \hline & & \\ \hline & & \\ \hline \end{array} \leq 0.1988\dots$$

Theorem

$$\text{If } \begin{array}{|c|c|c|} \hline & & \\ \hline & & \\ \hline & & \\ \hline \end{array} = 0, \text{ then } \begin{array}{|c|c|c|} \hline & & \\ \hline & & \\ \hline & & \\ \hline \end{array} \leq 0.19658\dots$$



Various non-layered densities

All bounds are matched from below by constructions on the left.



Things to think about

Do theorems about layered permutations extend to sums of sum-indecomposable blocks?

Is there a -maximizer that does not contain  with positive density?

Which sub-permutation densities force G to be pseudo-random?

...



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